

## JNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS Dec 1450 Alexadia, Vegetia 22813-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/828,974

04/21/2004

Duane R. McGregor

JJK-0407

Date Mailed: 06/28/2004

EXXONMOBIL RESEARCH AND ENGINEERING COMPANY P.O. BOX 900 **1545 ROUTE 22 EAST** ANNANDALE, NJ 08801-0900

**CONFIRMATION NO. 5355 FORMALITIES LETTER** \*OC000000013086323\*



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

08/06/2004 GUORDOF1 00000033 051330

10828974<sup>Commissioner</sup> for Patents

P.O. Box 1450

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130.00 DA

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

V.Negger

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

AGE 01 5 2004

To: Office of Initial Patent Examination Response to Notice to File Missing Parts FACSIMILE NO: (703) 746-4080

PTO/SB/21 (6903)
Approved for use through 04/30/2003. OMB 0651-0809 4DEMAP
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

		Talle Traceritative of Comments						
	Application Number	10/828,974						
TRANSMITTAL	Filing Date	04/21/2004						
FORM	First Named Inventor	Duane R. McGregor et al						
(to be used for all correspondence after initial filling)	Art Unit	Unassigned						
	Examiner Name	Unassigned						
Total Number of December This Submission 6	Attorney Docket Number	JJK-0407 (P2003J058)						
Total Number of Pages In This Submission 5 JJK-0407 (P2003J058)								
ENCI	LOSURES (Check all that	*****						
	Drawing(s) Licensing-related Pepers	After Allowance communication to Group  Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group						
After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s)	(Appeal Notice, Brief, Reply Brief)  Proprietary Information						
SIGNATURE C	F APPLICANT, ATTORNI	EY, UK AGENT						
or Jeremy J. Kliebert, Reg. No	o. 48,227							
Signature								
Date August 5, 2004								
CEDTIEI	ATE OF TO ALIGNMENT	MAHING						
CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
Typed or printed name Shannon J, Malocch	ıi .							
Signature Braunn	mawchi.	Date 08/05/04						

This collection of information is required by 37 CFR 1.5. The information is required to obtain or ratain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form end/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandra VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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AUG 0 5 2004 Under the Paperwork Reduction Act of 1895, no persons are requirements.  FEE TRANSMITTA		U.S. Patent and T	Appeared for use through 07/31/2008 Ok	9B/17 (10-03) MB 0651-0032 COMMERCE	
RADEM Reduction Act of 1995, no persons are required.		U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE prespond to a collection of Information unless it displays a valid OMB control number.  Complete If Known			
FEE TRANSMITTA	Appli	cation Number	10/828,974		
for EV 2004	Filing	Date	4/21/2004		
for FY 2004	First	Named Inventor	Duane R. McGregor		
Effective 10/01/2003. Petent fees are subject to annual revision	Exam	niner Name	Unknown		
Applicant claims small entity status. See 37 CFR 1.27	Art U	Init	Unknown		
TOTAL AMOUNT OF PAYMENT (\$) 130.00	Attori	ney Docket No.	JJK-0407		
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)				
Check Credit card Money Other None		IONAL FEES		-	
Deposit Account:  Deposit Account Number  05-1330	Fee Fee Code (\$) 1051 130	Pee Fee Code (\$) 2051 65 Sura	Fee Description charge - late filing fee or cath	Fee Pald 130.00	
Deposit Account Name Engineering Company	1052 50	COVE	ohange - late provisional filing fee or er sheet		
The Director is authorized to: (check all that apply)	1053 130 1812 2.520		n-English specification filing a request for <i>ex parte</i> reexamination		
Charge fee(a) indicated below Credit any overpayments Charge any additional fee(s) or any underpayment of fee(a)	1804 920	1804 920 Req	questing publication of SIR prior to		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840		questing publication of SIR after aminer action		
FEE CALCULATION	1251 110	2251 55 Exte	tension for reply within first month		
1. BASIC FILING FEE	1262 420		tension for reply within second month		
Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid	1253 950	l	tension for reply within third month	<b></b>	
Code (\$) Code (\$)	1254 1,480	ı	tension for reply within fourth month tension for reply within fifth month		
1001 770 2001 385 Utility filing fee	1265 2,010 1401 330		• •		
1002 340   2002 170   Design filing fee	1402 330		flice of Appealing a brief in support of an appeal		
1004 770 2004 385 Relasue filing fee	1403 290		quest for oral hearing		
1005 160 2005 80 Provisional filing fee	1451 1,510		tition to institute a public use proceeding		
SUBTOTAL (1) (\$)	1452 110	2452 55 Peti	tition to revive - unavoldable		

			1453	1,330	2453	665	Petition to revive - unintentional .	L
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE			1501	1,330	2501		Utility issue fee (or reissue)	
	'	Extra Claims <u>below Fee Paid</u>	1502	480	2502	240	Design issue fee	
Total Claims Independent	-20**		1503	640	2503	320	Plant issue fee	
Claime	-3**	- × 86.00 =	1460	130	1480	130	Petitions to the Commissioner	
Multiple Depe		ĹJ¶	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity	Small Entity	For December	1808	180	1806	180	Submission of Information Disclosure Stmt	
Code (\$)	Fee Fee Code (\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18	2202 9	Claims in excess of 20	1809	770	2809		Filing a submission after final rejection	
1201 86	2201 43	Independent claims in excess of 3					(37 CFR 1.129(a))	
1203 290	2203 145	Multiple dependent claim, if not paid	1810	770	2810			
1204 88	2204 43	** Relasue Independent claims	l		l		examined (37 CFR 1.129(b))	
	over original petent		1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18	2205 9	** Relssue claims in excess of 20 end over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$)					eclfy)			

SUBTOTAL (3) (\$) or number previously paid, if greater, For Reissues, see above SUBMITTED BY (Complete (f applicable)) Registration No. Name (Print/Type) Jeremy J. Kliebert 48,227 (225) 977-1592 Telephone Signature

\*Reduced by Basic Filing Fee Paid

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De Recursed on this form. Provide credit card information and authorization on P10-2006.

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SUBTOTAL (2)